

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re prior application of: Darryl J. Carlton

Application No.: /

Group No.:

Filed:

Examiner:

For: METHOD AND APPARATUS FOR DELIVERING SOFTWARE APPLICATIONS  
AS SERVICES OVER THE INTERNET USING A TRANSACTION-BASED  
UTILITY MODEL

Assistant Commissioner for Patents

Washington, D.C. 20231

## SUPPLEMENTAL APPLICATION DATA SHEET

37 C.F.R. § 1.76(c)

NOTE: "Supplemental application data sheets. Supplemental application data sheets:

(1) May be subsequently supplied prior to payment of the issue fee either to correct or update information in a previously submitted application data sheet, or an oath or declaration under § 1.63 or § 1.67, except that inventorship changes are governed by § 1.48, correspondence changes are governed by § 1.33(a), and citizenship changes are governed by § 1.63 or § 1.67; and

(2) Should identify the information that is being changed (added, deleted, or modified) and therefore need not contain all the previously submitted information that has not changed." 37 C.F.R. § 1.76(c).

The following information on the Application Data Sheet is changed as indicated:

## BIBLIOGRAPHIC DATA

- 1.
- ☐
- Applicant information is being
- ☐
- added
- ☐
- deleted
- ☐
- modified:

NOTE: 37 C.F.R. § 1.76(b)(1): "(1) Applicant information. This information includes the name, residence, mailing address, and citizenship of each applicant (§ 1.41(b)). The name of each applicant must include the family name, and at least one given name without abbreviation together with any other given name or initial. If the applicant is not an inventor, this information also includes the applicant's authority (§§ 1.42, 1.43, and 1.47) to apply for the patent on behalf of the inventor."

WARNING: Inventorship changes are governed by § 1.48. 37 C.F.R. § 1.76(c)(1).

WARNING: Citizenship changes are governed by § 1.63 or § 1.67. 37 C.F.R. 1.76(c)(1).

## CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

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37 C.F.R. § 1.8(a)

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## TRANSMISSION

- ☐
- facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Signature

Date: April 30, 2001Diana Dearing

(type or print name of person certifying)

First applicant:

Darryl J. Carlton  
GIVEN NAME MIDDLE INITIAL OR NAME FAMILY (OR LAST NAME)

Citizenship \_\_\_\_\_

Residence \_\_\_\_\_

Second applicant, (if any)

\_\_\_\_\_  
GIVEN NAME MIDDLE INITIAL OR NAME FAMILY (OR LAST NAME)

Citizenship \_\_\_\_\_

Residence \_\_\_\_\_

Third applicant, (if any)

\_\_\_\_\_  
GIVEN NAME MIDDLE INITIAL OR NAME FAMILY (OR LAST NAME)

Citizenship \_\_\_\_\_

Residence \_\_\_\_\_

Fourth applicant, if any

\_\_\_\_\_  
GIVEN NAME MIDDLE INITIAL OR NAME FAMILY (OR LAST NAME)

Citizenship \_\_\_\_\_

Residence \_\_\_\_\_

Fifth applicant, (if any)

\_\_\_\_\_  
GIVEN NAME MIDDLE INITIAL OR NAME FAMILY (OR LAST NAME)

Citizenship \_\_\_\_\_

Residence \_\_\_\_\_

Sixth applicant, (if any)

\_\_\_\_\_  
GIVEN NAME MIDDLE INITIAL OR NAME FAMILY (OR LAST NAME)

Citizenship \_\_\_\_\_

Residence \_\_\_\_\_

- ☐ Applicant is not the inventor and applicant's authority (§§ 1.42, 1.43 and 1.47) to apply for the patent on behalf of the inventor is as follows:

**2. Correspondence information is being ☐ added ☐ deleted ☒ modified:**

**NOTE:** 37 C.F.R. § 1.76(b)(2): "(2) Correspondence information. This information includes the correspondence address, which may be indicated by reference to a customer number, to which correspondence is to be directed (see § 1.33(a))."

**WARNING:** Correspondence changes are governed by § 1.33(a)

Correspondence for this application should be addressed as follows:

Name: David H. Jaffer

Address: Pillsbury Winthrop LLP, 2550 Hanover Street,  
Palo Alto, CA 94304-1115

☒ Customer No.: 27498

**3. Application information is being ☐ added ☐ deleted ☐ modified:**

**NOTE:** 37 C.F.R. § 1.76(b)(3): "Application information. This information includes the title of the invention, a suggested classification, by class and subclass, the Technology Center to which the subject matter of the invention is assigned, the total number of drawing sheets, a suggested drawing figure for publication (in a nonprovisional application), any docket number assigned to the application, the type of application (e.g., utility, plant, design, reissue, provisional), whether the application discloses any significant part of the subject matter of an application under a secrecy order pursuant to § 5.2 of this chapter (see § 5.2(c)), and, for plant applications, the Latin name of the genus and species of the plant claimed, as well as the variety denomination. The suggested classification and Technology Center information should be supplied for provisional applications whether or not claims are present. If claims are not present in a provisional application, the suggested classification and Technology Center should be based upon the disclosure."

Title of Invention:

Docket number assigned to this application:

Suggested Classification: Class:

Subclass:

Technology Center to which subject matter is assigned:

**NOTE:** "The suggested classification and Technology Center information should be supplied for provisional applications whether or not claims are present. If claims are not present in a provisional application, the suggested classification and Technology Center should be based upon the disclosure." 37 C.F.R. § 1.76(b)(3).

Total number of drawing sheets:

Type of application:

☒ utility

☐ application is to be published

Suggested drawing figure for publication: \_\_\_\_\_

☐ application is not to be published

☐ plant

☐ Latin names of the genus \_\_\_\_\_

species \_\_\_\_\_

of plant being claimed.

☐ design

☐ reissue

☐ provisional

Secrecy order under § 5.2:

This application

☐ does not disclose

☐ discloses a significant part of the

subject matter of an application which is under a secrecy order pursuant to § 5.2.

**4. Representative information is being ☐ added ☐ deleted ☐ modified:**

**NOTE:** 37 C.F.R. § 1.76(b)(4) states: "Representative information. This information includes the registration number of each practitioner having a power of attorney or authorization of agent in the application (preferably by reference to a customer number). Providing this information in the application data sheet does **not** constitute a power of attorney or authorization of agent in the application (see § 1.34(b))." (Emphasis added).

The following have a power of attorney or authorization of agent in this application:

Name of attorney (agent): \_\_\_\_\_

Address: \_\_\_\_\_

Customer No.: \_\_\_\_\_

**5. Domestic Priority information is being ☒ added ☐ deleted ☐ modified:**

**NOTE:** "Domestic priority information. This information includes the application number, the filing date, the status (including patent number if available), and relationship of each application for which a benefit is claimed under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and § 1.78(a)(2) or § 1.78(a)(4), and need not otherwise be made part of the specification." 37 C.F.R. § 1.76(b)(5).

☒ Domestic priority for this application is claimed as follows:

☒ 35 U.S.C. § 119(e): Application No.: 60/200966

Filed: May 1, 2000

Status: Pending

Relationship: Provisional

☐ 35 U.S.C. § 120: Application No.: \_\_\_\_\_

Filed: \_\_\_\_\_

Status: \_\_\_\_\_

Relationship: \_\_\_\_\_

☐ 35 U.S.C. § 121: Application No.: \_\_\_\_\_

Filed: \_\_\_\_\_

Status: \_\_\_\_\_

Relationship: \_\_\_\_\_

☐ 35 U.S.C. § 365(c): Application No.: \_\_\_\_\_

Filed: \_\_\_\_\_

Status: \_\_\_\_\_

Relationship: \_\_\_\_\_

**6. Foreign priority information is being ☐ added ☐ deleted ☐ modified:**

**NOTE:** "Foreign priority information. This information includes the application number, country, and filing date of each foreign application for which priority is claimed, as well as any foreign application having a filing date before that of the application for which priority is claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and § 1.55(a)." 37 C.F.R. § 1.76(b)(6).

☐ Foreign priority is claimed for this application as follows:

Country: \_\_\_\_\_

Application No.: \_\_\_\_\_

Filing date: \_\_\_\_\_

Status: \_\_\_\_\_

Foreign application having a filing date before that of the above application for which priority is claimed.

☐ None

☐ Country: \_\_\_\_\_

Application No.: \_\_\_\_\_

Filing date: \_\_\_\_\_

Status: \_\_\_\_\_

7. Assignee information is being ☐ added ☐ deleted ☐ modified:

NOTE: 37 C.F.R. § 1.76(b) "Assignee information. This information includes the name (either person or juristic entity) and address of the assignee of the entire right, title, and interest in an application. Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of this chapter to have an assignment recorded by the Office."

NOTE: 37 C.F.R. § 1.215(b): "(b) If applicant wants the patent application publication to include assignee information, the applicant must include the assignee information on the application transmittal sheet or the application data sheet (§ 1.76). Assignee information may not be included on the patent application publication unless this information is provided on the application transmittal sheet or application data sheet included with the application on filing. Providing this information on the application transmittal sheet or the application data sheet does not substitute for compliance with any requirement of part 3 of this chapter to have an assignment recorded by the Office."

The assignee(s) of this application is/are:

Name of assignee: \_\_\_\_\_

Address of assignee: \_\_\_\_\_

Extent of interest of assignee in application: \_\_\_\_\_

Reg. No. 32,243

Tel. No. 650) 233-4510

Customer No. 27498

  
Signature of Practitioner

David H. Jaffer

(type or print name of practitioner)

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